

General Information

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|---|------------------------|
| Name of the Company : NDA Securities Limited | |
| Scrip Code, class of security : 511535, Equity shares | |
| Quarter ended : 30-9-2015 | |
| General information [Abstract] | |
| Scrip code | 511535 |
| Name of the company | NDA Securities Limited |
| Class of security | Equity shares |
| Type of report | Quarterly |
| Reason for additional filling | |
| Whether company has more than one class of shares or securities having voting rights | No |
| Whether company is SME | No |
| Date of report | 30-9-2015 |

Disclosure of shareholding pattern

| Name of the Company : NDA Securities Limited | | | | |
|---|---|----------------------------------|---|--|
| Scrip Code, class of security : 511535, Equity shares | | | | |
| Quarter ended : 30-9-2015 | | | | |
| Disclosure of notes on shareholding pattern | | | | Notes |
| Partly paid-up shares | | | | |
| ID | Description partly paid-up shares | Number of partly paid-up shares | As a percentage of total number of partly paid-up shares | As a percentage of total number of shares of the company |
| Shares held by promoter or promoter group | | | | |
| Total Shares held by promoter or promoter group | | | | |
| Shares held by public | | | | |
| Total Shares held by public | | | | |
| Total | | | | |
| Outstanding convertible securities | | | | |
| ID | Description of outstanding convertible securities | Number of outstanding securities | As a percentage of total number of outstanding convertible securities | As a percentage of total number of shares of the company, assuming full conversion of the convertible securities |
| Shares held by promoter or promoter group | | | | |
| Total shares held by promoter or promoter group | | | | |
| Shares held by public | | | | |
| Total Shares held by public | | | | |
| Total | | | | |
| Warrants | | | | |
| ID | Description of warrants | Number of warrants | As a percentage of total number of warrants | As a percentage of total number of shares of the company, assuming full conversion of warrants |
| Shares held by promoter or promoter group | | | | |
| Total Shares held by promoter or promoter group | | | | |
| Shares held by public | | | | |
| Total Shares held by public | | | | |
| Total | | | | |
| Total paid-up capital of the company assuming full conversion of warrants and convertible securities | | 509220 | | |

Disclosure of fully paid-up shares

| | | | | | | | | |
|--|---|----------------------------|------------------------|--|--|----------------------------|--|--------------------------|
| Name of the Company : NDA Securities Limited | | | | | | | | |
| Scrip Code, class of security : 511535, Equity shares | | | | | | | | |
| Quarter ended : 30-9-2015 | | | | | | | | |
| Disclosure of notes on fully paid-up shares | | | | | | | Notes | |
| Category code | | | | | Total Shareholding as a percentage of total number of shares | | Shares pledged or otherwise encumbered | |
| | | Number of shareholders | Total number of shares | Number of shares held in dematerialised form | As a percentage of (A+B) | As a percentage of (A+B+C) | Number of shares | As a percentage |
| (I) | (II) | (III) | (IV) | (V) | (VI) | (VII) | (VIII) | (IX)= (VIII)/(IV)*100 |
| (A) Shareholding of Promoter and Promoter Group | | | | | | | | |
| (1) Indian | | | | | | | | |
| (a) | Individuals or Hindu undivided family | 7 | 3589240 | 3589240 | 70.49 | 70.49 | | |
| (b) | Central government or State government(s) | 0 | 0 | 0 | 0 | 0 | | |
| (c) | Bodies corporate | 1 | 50000 | 50000 | 0.98 | 0.98 | | |
| (d) | Financial institutions or banks | 0 | 0 | 0 | 0 | 0 | | |
| (e) | Any other (specify) | Description of Shareholder | | | | | | |
| Total Others | | | | | | | | |
| Sub Total (A) (1) | | 8 | 3639240 | 3639240 | 71.47 | 71.47 | | |
| (2) Foreign | | | | | | | | |
| (a) | Non-resident individuals or foreign individuals | | | | | | | |
| (b) | Bodies corporate | | | | | | | |
| (c) | Institutions | | | | | | | |
| (d) | Qualified foreign investor | | | | | | | |
| (e) | Any other (specify) | Description of Shareholder | | | | | | |
| Total Others | | | | | | | | |
| Sub-Total (A) (2) | | | | | | | | |
| Total Shareholding of Promoter and Promoter Group (A) = (A) (1)+(A)(2) | | 8 | 3639240 | 3639240 | 71.47 | 71.47 | | |
| (B) Public Shareholding | | | | | | | | |
| (1) Institutions | | | | | | | | |
| (a) | Mutual funds or UTI | | | | | | | |
| (b) | Financial institutions or banks | | | | | | | |
| (c) | Central government or State government(s) | | | | | | | |
| (d) | Venture capital funds | | | | | | | |
| (e) | Insurance companies | | | | | | | |
| (f) | Foreign institutional investors | | | | | | | |

| | | | | | | | | | |
|--|---|----------------------------|------|---------|---------|-------|-------|--|--|
| (g) | Foreign venture capital investors | | | | | | | | |
| (h) | Qualified foreign investor | | | | | | | | |
| (k) | Any other (specify) | Description of Shareholder | | | | | | | |
| Total Others | | | | | | | | | |
| Sub Total (B) (1) | | | | | | | | | |
| (2) | Non-Institutions | | | | | | | | |
| (a) | Bodies corporate | | 49 | 29599 | 13699 | 0.58 | 0.58 | | |
| (b) | Individuals | | | | | | | | |
| i | Individual shareholders holding nominal share capital up to Rs one lakh | | 3508 | 634501 | 235319 | 12.46 | 12.46 | | |
| ii | Individual shareholders holding nominal share capital in excess of Rs one lakh | | 11 | 742716 | 722916 | 14.59 | 14.59 | | |
| (c) | Qualified foreign investor | | | | | | | | |
| (d) | Any other (specify) | Description of Shareholder | | | | | | | |
| 1 | Non-Institutions Shareholders 1 | NRI | 1 | 10 | 10 | 0 | 0 | | |
| 2 | Non-Institutions Shareholders 2 | HUF | 22 | 46134 | 46134 | 0.91 | 0.91 | | |
| Total Others | | | 23 | 46144 | 46144 | 0.91 | 0.91 | | |
| Sub-total (B) (2) | | | 3591 | 1452960 | 1018078 | 28.53 | 28.53 | | |
| Total Public Shareholding (B) = (B)(1)+(B)(2) | | | 3591 | 1452960 | 1018078 | 28.53 | 28.53 | | |
| TOTAL (A)+(B) | | | 3599 | 5092200 | 4657318 | 100 | 100 | | |
| (C) | Shares held by custodians and against which depository receipts have been issued | | | | | | | | |
| (1) | Promoter and promoter group | | | | | | | | |
| (2) | Public | | | | | | | | |
| Total Shares held by Custodians (C) | | | | | | | | | |
| GRAND TOTAL (A)+(B)+(C) | | | 3599 | 5092200 | 4657318 | | 100 | | |

(I) (b) Statement showing holding of securities (including shares,warrants,convertible securities) of persons belonging to the category Promoter and Promoter Group

| Name of the Company : NDA Securities Limited | | | | | | | | | | | | |
|---|-------------------------------------|-----------------|---------------------------|--|-------------------------------|------------------------|---|-------------------------|--|---------------------------------------|---|--|
| Scrip Code, class of security : 511535, Equity shares | | | | | | | | | | | | |
| Quarter ended : 30-9-2015 | | | | | | | | | | | | |
| Disclosure of notes on securities belonging to the category "Promoter and Promoter Group" | | | | | | | | | | | | Notes |
| Sr. No. | Name of the shareholder | PAN of Promoter | Number of the shares held | As a percentage of grand total (A) + (B) + (C) | Encumbered - number of shares | As a percentage | As a percentage of grand total (A) + (B) + (C) of clause (I)(a) | Number of warrants held | Warrants held as a percentage of total numbers of warrants of the same class | Number of convertible securities held | Convertible securities as percentage of total numbers of convertible securities of same class | Total shares (including underlying shares assuming full conversion of warrant and convertible securities as a percentage of diluted share capital) |
| (I) | (II) | (II) (a) | (III) | (IV) | (V) | (VI)= (V)/(III)*100 | (I)(a) (VII) | (VIII) | (IX) | (X) | (XI) | (XII) |
| 1 | Sh. N.D. Agarwal | AADPA9961B | 3034774 | 59.6 | | | | | | | | 595.97 |
| 2 | N.D. Agarwal (HUF) | AAAHN1950Q | 264700 | 5.2 | | | | | | | | 51.98 |
| 3 | Sh. Sanjay Agarwal | AADPA9959M | 180785 | 3.55 | | | | | | | | 35.5 |
| 4 | Smt. Saroj Agarwal | AADPA996A | 80010 | 1.57 | | | | | | | | 15.71 |
| 5 | NDA Research & Technologies Pvt Ltd | AAACN3247M | 50000 | 0.98 | | | | | | | | 9.82 |
| 6 | Smt. Deepti Agarwal | AEGPA7817K | 28451 | 0.56 | | | | | | | | 5.59 |
| 7 | Smt. Reena Gupta | AHFPG8514R | 510 | 0.01 | | | | | | | | 0.1 |
| 8 | Smt. Neena Dewan | AGMPD7770N | 10 | 0 | | | | | | | | 0 |
| Total | | | 3639240 | 71.47 | | | | | | | | 714.67 |

(I) (c) (i) Statement showing holding of securities (including shares,warrants,convertible securities) of persons belonging to the category Public and holding more than 1% of the total number of shares

| Name of the Company : NDA Securities Limited | | | | | | | | | |
|---|-------------------------|--------------------|---------------------------|--|-------------------------|--|---------------------------------------|---|---|
| Scrip Code, class of security : 511535, Equity shares | | | | | | | | | |
| Quarter ended : 30-9-2015 | | | | | | | | | |
| Disclosure of notes on holding of securities of persons belonging to the category "Public" and holding more than one percentage of the total number of shares | | | | | | | | | Notes |
| Sr. No. | | | | | | | | | |
| | Name of the shareholder | PAN of Shareholder | Number of the shares held | Shares as percentage of total number of shares {i.e, Grand Total (A) + (B) + (C) indicated in statement at para (I) (a) above} | Number of warrants held | Warrants held as a percentage of total numbers of warrants of the same class | Number of convertible securities held | Convertible securities as percentage of total numbers of convertible securities of same class | Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a percentage of diluted share capital |
| (I) | (II) | (II) (a) | (III) | (IV) | (V) | (VI) | (I)(a) (VII) | (VIII) | (IX) |
| 1 | Rameshwar Dayal Tayal | AACPT8625K | 176354 | 3.46 | | | | | 34.63 |
| 2 | Trilok Chand Agarwal | AIMPA0057B | 168536 | 3.31 | | | | | 33.1 |
| 3 | Prabha Somani | ANWPS5683G | 160971 | 3.16 | | | | | 31.61 |
| 4 | Usha Rani Gupta | AAFPG4766J | 77672 | 1.53 | | | | | 15.25 |
| Total | | | 583533 | 11.46 | | | | | 114.59 |

